



SECRETARIAL COMPLIANCE REPORT

FOR THE FINANCIAL YEAR ENDED 31ST MARCH 2021

[Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019]

Secretarial compliance report of SVC Industries Limited for the year ended 31/03/2021

I, Ranjeet Sharma have examined:

- a) all the documents and records made available to us and explanation provided by SVC Industries Limited (“the listed entity”),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification for the year ended 31st March, 2021 (“Review Period”) in respect of compliance with the provisions of :
 - a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
 - b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, includes:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable as there was no reportable event during the year under review)**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable as there was no reportable event during the year under review)**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable as there was no reportable event during the year under review)**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable as there was no reportable event during the year under review)**
- g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable as there was no reportable event during the year under review)**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

Based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder except



Ranjeetkumar Sharma & Associates

Company Secretaries

B-26, Venus CHS Ltd., Maitry Park, 100 Ft Road, Vasai West, Dist. Thane-401 202

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UCN – S2016MH359900

a. for the following:

Sr.	Compliance Requirement	Deviation	Observation
1	Compliance Officer Regulation 6 (1) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015	Company was not having the Compliance Officer for two months from 11 th January 2021 to 10 th March 2021.	Company appointed Company Secretary and Compliance Officer w.e.f. 11 th March 2021.
2	There are certain non-compliances arising due to CoVID-19, against which penalty was imposed by exchange and company has given clarification against the same. At present exchange has waived few penalties.		

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr No.	Action taken by	Details of violations	Details of action taken E.g Fines, warning letters, debarment etc.	Observations/remarks of practicing Company secretary, if any
NIL	NIL	Nil	NIL	NIL

(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr No.	Observations/remarks of practicing Company secretary in the previous report	Observation made in the Secretarial Compliance Report FYE 31/03/2020	Action taken by the listed entity, if any	Comments of the Company Secretary on the actions taken by the listed entity
1	Reconciliation of share capital Audit Report for the quarter ended 31.03.2020	Submitted on 28.08.2020 with delay	It was filed after due date	Said observation was duly complied and found ok.
2	Compliance Officer (Regulation	Company does not have the	Company Secretary	Said observation is ok



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	6(1) of LODR	compliance officer.	Appointed	
3	Corporate Governance Report (Regulations 27 (2) of LODR	Delay in submission	The report was submitted on 23.05.2020	Said observation is ok
4	Compliance Certificate (Regulation 74 (5) of SEBI Depository and Participants) Regulations 2015	Delay in submission	The report was submitted on 23.07.2020	Said observation made Ok

We have conducted online verification and examinations of records as facilitated by the Company, due to Covid-19 and subsequent lockdown situation for the purpose of issuing this report.

For **Ranjeetkumar Sharma & Associates**
Company Secretary

Ranjeet Sharma
Proprietor
C.P. No.: 13241

Place: Mumbai
Date: 28/06/2021

UDIN number A027079C000527106