



# Ranjeetkumar Sharma & Associates

Company Secretaries

B-26, Venus CHS Ltd., Maitry Park, 100 Ft Road, Vasai West, Dist. Thane-401 202

Mobile : +91 97643 22951, Email : ranjeetsharma1985@gmail.com

UCN – S2016MH359900

## SECRETARIAL COMPLIANCE REPORT

FOR THE FINANCIAL YEAR ENDED 31ST MARCH 2022

**[Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019]**

Secretarial Compliance Report of SVC Industries Limited for the year ended 31/03/2022, Ranjeet Sharma have examined:

- a) all the documents and records made available to me and explanation provided by SVC Industries Limited (“the listed entity”),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity. <https://www.svcindustriesltd.com>
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification for the year ended 31<sup>st</sup> March, 2022 (“Review Period”) in respect of compliance with the provisions of :
  - a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
  - b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, includes:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable as there was no reportable event during the year under review)**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



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- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable as there was no reportable event during the year under review)**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable as there was no reportable event during the year under review)**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable as there was no reportable event during the year under review)**
- g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable as there was no reportable event during the year under review)**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

Based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder except

a. for the following:

Sr.	Compliance Requirement	Deviation	Observation
1.	There is non-compliance against which penalty was imposed by exchange and company has given clarification against the same. The Non-Compliance are as follows:		



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(i) Delay in filing of Shareholding Pattern for the quarter ended 31 March 2022	There was a delay in compliance by 39 days	Duly Complied on 30 May 2022
(ii) Delay in filing of Reconciliation of Share Capital Audit Report for the quarter ended 31 March 2022	There was a delay in compliance by 30 days	Duly Complied on 30 May 2022
(iii) Compliance Certificate under Regulation 7 (3) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the quarter ended 31 March 2022	There was a delay in compliance by 30 days	Duly Complied on 30 May 2022
(iv) Certificate under Regulation 40 (9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the quarter ended 31 March 2022	There was a delay in compliance by 30 days	Duly Complied on 30 May 2022
(v) Investor Grievances Report under Regulation 7(3) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the quarter ended 31 March 2022	There was a delay in compliance by 39 days	Duly Complied on 30 May 2022

(b) The listed entity has maintained proper records under the provisions of the above



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Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:

Sr No.	Action taken by	Details of violations	Details of action taken E.g Fines, warning letters, debarment etc.	Observations/remarks of practicing Company secretary, if any
NIL	NIL	NIL	NIL	NIL

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr No.	Observations/remarks of practicing Company secretary in the previous report	Observation made in the Secretarial Compliance Report FYE 31/03/2021	Action taken by the listed entity, if any	Comments of the Company Secretary on the actions taken by the listed entity
	Compliance Officer Regulation 6 (1) of SEBI (Listing Obligation & Disclosure Requirements) Regulations, 2015	Company was not having the Compliance Officer for two months from 11th January 2021 to 10th March 2021.	Company appointed Company Secretary and Compliance Officer w.e.f. 11th March 2021.	Said observation was duly complied.



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I have conducted verification and examinations of records as facilitated by the Company.

**FOR RANJEETKUMAR SHARMA & ASSOCIATES**

Thanking you.



**RANJEET SHARMA**  
**COMPANY SECRETARY IN PRACTICE**  
**ACS NO: 27079 | CP NO: 13241**  
**PLACE: MUMBAI**